

F. No. 13016/26/2004-CA-I (Pt.)

Government of India

Ministry of Coal

**

Shastri Bhavan, New Delhi

Dated 27 December, 2012

Subject: Pre-determined Evaluation Criteria and Evaluation Sheet for allotment of Coal Blocks to Government Companies/Corporations for specified end use (Power & Steel) and for Mining along with details to be furnished by the applicant Government Company/Corporation under Rule 4(3) of 'Auction by Competitive Bidding of Coal Mines Rules, 2012' – reg.

The Inter-Ministerial Committee constituted under Rule 4(3) of 'Auction by Competitive Bidding of Coal Mines rules, 2012' to consider the applications received from the Government Companies/Corporations has decided the following pre-determined evaluation criteria and evaluation sheet for allotment of coal blocks for specified end use (power and steel) and for mining along with details to be furnished by the applicant Government Company/Corporation. Notice Inviting Applications for these blocks will be published shortly.

Encls: As above

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To

- i) Chief Secretaries of all States and Union Territories of Union of India
- ii) Secretary, Department of Economic Affairs, Ministry of Finance
- iii) Secretary, Ministry of Power
- iv) Secretary, Planning Commission
- v) Secretary, Ministry of Steel
- vi) Secretary, Department of Industrial Policy & Promotion, Ministry of Heavy Industries
- vii) Secretary, Ministry of Law & Justice
- viii) TD, NIC, MoC – with a request to upload on the website of the Ministry for information

Details to be furnished by the Applicant Government Company / Corporation]

1. Name:	
1.1 Registered Office: 1.2 Legal status of ownership: 1.3 Administrative Ministry of the State Government: 1.4 Names of Director (attach list) 1.5 Core business of the Company.	
2. Financials:	
2.1 Authorized capital 2.2 Turnover (last five years) 2.3 Projected turnover (next 5 years). 2.4 Profit after tax (last five years): 2.5 Net worth	
3. Credentials of the Company/Business details of the Company	
4. Location of plant requiring coal:* * Not applicable in case of allocation of blocks for commercial mining.	
Indicate the name of block / mine applied for. <ul style="list-style-type: none"> • Distance from the proposed coal block/mine: • Distance from nearest rail head: • Distance by road: 	
5. Present status of the Plant / Unit in respect of the following milestones:	
5.1 Project report: 5.2 Land acquisition tie-up 5.3 Water tie-up: 5.4 Environmental approvals/TOR: 5.5 Financial closure/Status of financial tie-up: Arrangement of funds/resources for the project : Attach separate sheet (s), if required.	
Note: Where ever approvals are in place, attach documentary evidence.	
6. Quantity of coal required	
6.1 Total quantity of coal required: <ul style="list-style-type: none"> • Normative requirement as per CEA norms for power and as per concerned line Ministry's norms for steel/others • Annual requirement for the given size of unit and for other sectors. 	

7. Details of coal block already allotted to the Company	
<p>7.1 Name of the block.</p> <p>7.2 Location</p> <p>7.3 Date of allocation.</p> <p>7.4 Basis of allocation: specified end use / mining</p> <p>Note: If more than one block is allocated, details of all blocks are to be furnished.</p>	
8. Present status of development of the block (s) already allocated.	
<p>8.1 Major milestones completed</p> <p>8.1.1 Mine Plan:</p> <p>8.1.2 Mining Lease:</p> <p>8.1.3 Forest clearance:</p> <p>8.1.4 Environmental clearance:</p> <p>8.1.5 Land acquisition</p> <p>8.2 Any other information.</p> <p>Attach separate sheets for each of the blocks allotted.</p>	
9. Plant Generation Capacity in MW	
<p>9.1 Is it an expansion project?</p> <p>9.2 Is it a green field project?</p> <p>For green field and expansion projects fill up details under item (5)</p>	
10. Any other information the company feels it relevant in support of the allocation of coal block.	

Note: All relevant information should be duly certified by Chartered Accounts and all documents submitted shall be signed by the authorized signatory of the company.

**Predetermined Evaluation Criteria & Evaluation Sheet
Government companies for specified end use (Power & Steel)**

S No	Parameter	Total Points	Points Scored	Remarks
		Utility / IPP		
1	Progress of development of blocks allotted in past.	3*		List of milestones to be given. If > one block, give block wise position. Major milestones considered for evaluation of progress of earlier allocations are : a. Approval of Mine Plan/ preparation of GR in case of regionally explored blocks. b. Forestry clearance. c. Environmental clearance. d. Land acquisition (including R & R). e. Commencement of coal production.
2	Company's financials Net worth -4 Turnover -2	6		If more than one state PSU, individual details required.
3	Preparedness of the plant/unit awaiting coal allocation/ supply: 1. In-principle approval of the project/feasibility of the project (3) 2. In-principle approval for land acquisition under Section 4 and in-principle approval of water allocation. (3) 3. In-principle approval of TOR by MoEF (3)	9		
4.	**Demand supply gap : Demand supply gap of the State – year-wise during the XII Plan and in the terminal year in the XIII Plan (To be procured from Planning Commission/MoP)	9		

5	Plant location: In case of pit head or distance from the block < 100 KM.	3		
	Total	30 (*27)		

Notes: 1. *States/Companies having **NO** previously allocated blocks/mines will be evaluated on the basis of **27 total points**.

2. **Not applicable to Central PSUs.

Predetermined Evaluation Criteria & Evaluation Sheet
(Government companies for mining)

S. No	Parameter	Total Points	Points Scored	Remarks
1	Progress of development of blocks allotted in past.	5 *		List of milestones to be given. If > one block, give block wise position. Major milestones considered for evaluation of progress of earlier allocations are : a. Approval of Mine Plan/ preparation of GR in case of regionally explored blocks. b. Forestry clearance. c. Environmental clearance. d. Land acquisition (including R & R). e. Commencement of coal production.
2	Capability of mining	3		
3	Company's financials / Net worth	5		If more than one state PSU, individual details required.
4	Demand supply gap	7		
	Total	20 (*15)		

Note: 1. *States / companies that have **NO** previously allocated blocks/mines will be evaluated on the basis of **15 total points**.